

**IN THE UNITED STATES DISTRICT COURT  
MIDDLE DISTRICT OF NORTH CAROLINA  
DURHAM DIVISION**

**UNITED STATES SECURITIES AND  
EXCHANGE COMMISSION,**

**Plaintiff**

**v.**

**GREG E. LINDBERG,  
CHRISTOPHER HERWIG, and  
STANDARD ADVISORY SERVICES  
LIMITED,**

**Defendants**

**Case No. 22-cv-00715**

**Honorable Catherine C. Eagles  
Honorable Joi Elizabeth Peak**

**DEFENDANT GREG E. LINDBERG’S RESPONSE TO PLAINTIFF’S MOTION  
FOR SUMMARY JUDGMENT**

Defendant Greg. E. Lindberg (“Defendant” or “Mr. Lindberg”), by and through undersigned counsel, hereby submits this response to Plaintiff Securities and Exchange Commission’s (“SEC” or “Plaintiff”) Motion for Summary Judgment (ECF No. 105).

Consistent with Mr. Lindberg’s plea agreement and acceptance of responsibility in a related criminal case, *United States v. Greg Lindberg*, No. 3:23-cr-48-MOC (W.D.N.C.) (Dkt. Nos. 40, 43), which includes the same factual allegations as those set forth in the present case, Mr. Lindberg accepts responsibility for his conduct and does not dispute Plaintiff’s factual assertions in its Motion for Summary Judgment to the extent those factual assertions accord with the facts set forth in the Factual Basis filed in case number 3:23-cr-

48 (Dkt. No. 42).<sup>1</sup> Furthermore, Mr. Lindberg and Plaintiff have reached an agreement in principle on a bifurcated settlement that they anticipate filing with the Court by March 12, 2025 at the latest.

DATED: February 27, 2025

Respectfully submitted,

/s/ L. Cooper Harrell

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/s/ Ryan J. Meyer

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*Attorneys for Defendant Greg E. Lindberg*

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<sup>1</sup> A copy of the Factual Basis is attached hereto as Exhibit 1.

### **CERTIFICATE OF WORD COUNT**

In accordance with Local Rule 7.3(d), the undersigned hereby certifies that the foregoing document contains less than 170 words in the body, headings, and footnotes according to Microsoft Word's word count feature.

This the 27<sup>th</sup> day of February 2025.

/s/ Ryan J. Meyer

Ryan J. Meyer, #24088053

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that the foregoing document has been filed electronically with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to counsel of record in this action.

This the 27<sup>th</sup> day of February 2025.

/s/ Ryan J. Meyer

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